

AD-A209 698

2

OFFICE OF NAVAL RESEARCH

Research Contract N00014-87-K-0014

R&T Code 413a001

Technical Report No. 20

A STUDY OF THERMODYNAMIC PHASE STABILITY OF INTERMETALLIC  
THIN FILMS OF  $Pt_2Ga$ ,  $PtGa$  AND  $PtGa_2$  ON GALLIUM ARSENIDE

by

Young K. Kim, David K. Shuh,<sup>†</sup> R. Stanley Williams,<sup>†</sup>  
Larry P. Sadwick\* and Kang L. Wang\*

To be published in

*Proc. Mat. Res. Soc. Spring Symp., San Diego, 1989*

University of California, Los Angeles

<sup>†</sup>Department of Chemistry & Biochemistry and Solid State Science Center  
Los Angeles, CA 90024-1569  
and

\*Department of Electrical Engineering  
Los Angeles, CA 90024-1594

July 1, 1989



Reproduction in whole or part is permitted for any purpose of the United States Government.

This document has been approved for public release and sale;  
its distribution is unlimited

89 6 21 022

UNCLASSIFIED

SECURITY CLASSIFICATION OF THIS PAGE

## REPORT DOCUMENTATION PAGE

1a REPORT SECURITY CLASSIFICATION UNCLASSIFIED			1b RESTRICTIVE MARKINGS N/A	
2a SECURITY CLASSIFICATION AUTHORITY N/A			3 DISTRIBUTION / AVAILABILITY OF REPORT Approved for public release; distribution unlimited	
2b DECLASSIFICATION / DOWNGRADING SCHEDULE N/A				
4 PERFORMING ORGANIZATION REPORT NUMBER(S) N/A			5 MONITORING ORGANIZATION REPORT NUMBER(S)	
6a NAME OF PERFORMING ORGANIZATION The Regents of the University of California		6b OFFICE SYMBOL (If applicable)	7a NAME OF MONITORING ORGANIZATION 1) ONR Pasadena - Administrative 2) ONR Alexandria - Technical	
6c ADDRESS (City, State, and ZIP Code) Office of Contracts & Grants Administration U C L A, 405 Hilgard Avenue Los Angeles, CA 90024			7b ADDRESS (City, State, and ZIP Code) 1) 1030 E. Green Street, Pasadena, CA 91106 2) 800 N. Quincy St., Arlington, VA 22217-5000	
8a NAME OF FUNDING / SPONSORING ORGANIZATION Office of Naval Research		8b OFFICE SYMBOL (If applicable) ONR	9 PROCUREMENT INSTRUMENT IDENTIFICATION NUMBER N00014-87-K-0014	
8c ADDRESS (City, State, and ZIP Code) 800 N. Quincy Street, 614A:DHP Arlington, VA 22217-5000			10 SOURCE OF FUNDING NUMBERS	
			PROGRAM ELEMENT NO	PROJECT NO
			TASK NO	WORK UNIT ACCESSION NO
11 TITLE (Include Security Classification) UNCLASSIFIED: A study of thermodynamic phase stability of intermetallic thin films of Pt <sub>3</sub> Ga, PtGa and PtGa <sub>2</sub> on gallium arsenide				
12 PERSONAL AUTHOR(S) Young K. Kim, David K. Shuh, R. Stanley Williams, Larry P. Sadwick and Kang L. Wang				
13a TYPE OF REPORT Tech Rept #20		13b TIME COVERED FROM 1988 TO 1989		14 DATE OF REPORT (Year, Month, Day) 15 June 1989
15 PAGE COUNT 6				
16 SUPPLEMENTARY NOTATION				
17 COSATI CODES			18 SUBJECT TERMS (Continue on reverse if necessary and identify by block number)	
FIELD	GROUP	SUB-GROUP	Epitaxial growth of thin films, interface chemistry, composition analysis, thermodynamic control.	
19 ABSTRACT (Continue on reverse if necessary and identify by block number)				
<p>↓</p> <p>Epitaxial thin films of three different Pt-Ga intermetallic compounds have been grown on GaAs by molecular beam epitaxy (MBE). The resultant films have been annealed at various temperatures and then examined using x-ray two-theta diffraction. Both PtGa<sub>2</sub> and PtGa thin films are chemically stable on GaAs under 1 atmosphere of N<sub>2</sub> up to 450°C and 600°C, respectively. Thin films of Pt<sub>2</sub>Ga react with GaAs at temperatures as low as 200°C to form phases with higher Ga concentration.</p>				
20 DISTRIBUTION / AVAILABILITY OF ABSTRACT <input checked="" type="checkbox"/> UNCLASSIFIED/UNLIMITED <input type="checkbox"/> SAME AS RPT <input type="checkbox"/> DTIC USERS			21 ABSTRACT SECURITY CLASSIFICATION UNCLASSIFIED	
22a NAME OF RESPONSIBLE INDIVIDUAL R. Stanley Williams			22b TELEPHONE (Include Area Code) (213) 825-8818	22c OFFICE SYMBOL UCLA

# A STUDY OF THERMODYNAMIC PHASE STABILITY OF INTERMETALLIC THIN FILMS OF $\text{Pt}_2\text{Ga}$ , $\text{PtGa}$ AND $\text{PtGa}_2$ ON GALLIUM ARSENIDE

Young K. Kim\*, David K. Shuh\*, R. Stanley Williams\*, Larry P. Sadwick\*\* and Kang L. Wang\*\*

\*Department of Chemistry and Biochemistry and Solid State Science Center, University of California, Los Angeles, California 90024-1569

\*\*Department of Electrical Engineering Device Research Laboratory, University of California, Los Angeles California 90024

## ABSTRACT

Epitaxial thin films of three different Pt-Ga intermetallic compounds have been grown on GaAs by molecular beam epitaxy (MBE). The resultant films have been annealed at various temperatures and then examined using X-ray two-theta diffraction. Both  $\text{PtGa}_2$  and  $\text{PtGa}$  thin films are chemically stable on GaAs under 1 atmosphere of  $\text{N}_2$  up to 450°C and 600°C, respectively. Thin films of  $\text{Pt}_2\text{Ga}$  react with GaAs at temperatures as low as 200°C to form phases with higher Ga concentration.

## Introduction

The interface chemistry of metal-semiconductor contacts plays an important role in controlling the electrical properties of Schottky barriers and Ohmic contacts [1]. Chemically stable contacts must be formed at the metal-semiconductor interface in order for electronic devices to survive processing procedures and operate reliably in harsh environment applications for long periods of time [2,3]. A possible solution for this interface problem would be to use a contact metal that can coexist with GaAs in bulk thermodynamic equilibrium. Such stable metals can be found by examining ternary phase diagrams, such as the Pt-Ga-As system, which was experimentally elucidated by Tsai et. al. [4] and is illustrated in Fig. 1. The existence of a pseudobinary tie-line between two compounds in the ternary phase diagram implies that the compounds will not react with each other in a closed system, i.e. the bulk compounds are in thermodynamic equilibrium with respect to each other. Therefore, from Fig. 1 it can be expected that  $\text{PtGa}$  and  $\text{PtGa}_2$  will form stable contacts with GaAs but that  $\text{Pt}_2\text{Ga}$  will not. In the present study, these expectations are tested by investigating the phase composition of thin films of  $\text{Pt}_2\text{Ga}$ ,  $\text{PtGa}$  and  $\text{PtGa}_2$  on GaAs after annealing to various temperatures.

## Film Growth

The Pt-Ga intermetallic films were grown in a MBE chamber with a base pressure of  $2 \times 10^{-10}$  torr and a deposition pressure of approximately  $4 \times 10^{-9}$  torr. The two inch GaAs substrates were introduced via a cryopumped load lock system and mounted on a modified manipulator equipped with radiative heating elements. The samples were cleaned in-situ by heating to a temperature of approximately 525°C. The platinum was evaporated using a Varian 3 KW electron beam evaporator and the gallium was obtained from a Knudsen cell constructed of a pyrolytic boron nitride (PBN) crucible with a tantalum heating element. The fluxes of platinum and gallium were initially tuned to the proper stoichiometry based on empirical knowledge.  $\text{PtGa}_2$  can be visually identified by its characteristic golden color, since  $\text{PtGa}_2$  is the only Pt-Ga phase that has a band structure similar to that of elemental gold [5]. Neither  $\text{PtGa}$  nor  $\text{Pt}_2\text{Ga}$  can be easily identified by color. The flux rate from the gallium source was stabilized by temperature control circuits that ensured a constant flux rate for each source power setting. Subsequent depositions have been controlled with a Leybold-Inficon IC-6000 crystal monitor system. To obtain single phase Pt-Ga intermetallic films, the flux ratio of gallium to platinum was adjusted to be slightly Ga rich. Co-evaporation of each Pt-Ga intermetallic proceeded with the substrate held at temperatures ranging from near room temperature to over 500°C at epilayer growth rates ranging from approximately 0.5 to 5 microns/hour.

## Composition Analysis

XRD patterns of the films were taken on a Phillips X-ray powder diffractometer, which was interfaced to a microcomputer that controlled the scan rate and collected data at  $0.1^\circ$  intervals with a counting time of 10 seconds at each angle. The total time required for a complete scan ( $2\theta$  from  $10^\circ$  to  $100^\circ$ ) was about 3 hours and the typical signal-to-noise ratio for a strong diffraction peak was 30 to 1. The d spacings of the  $\text{PtGa}_2$  and  $\text{Pt}_2\text{Ga}$  thin films were checked against a reference tabulation [6] to ensure that they were identified correctly. As

no known PtGa JCPDS data exists, the known d-spacings of PtGa [7] were compared with values calculated from the diffraction pattern of the thin film and were found to agree closely. The thin films were annealed for twenty minutes in a quartz tube furnace under a nitrogen atmosphere for temperatures ranging from 100°C to 800°C. In this paper, we present XRD results of annealing studies of the Pt-Ga intermetallic single phase thin films. A complete characterization of these films, including Auger electron spectroscopy (AES) and X-ray photoemission spectroscopy (XPS), will be presented elsewhere [8].

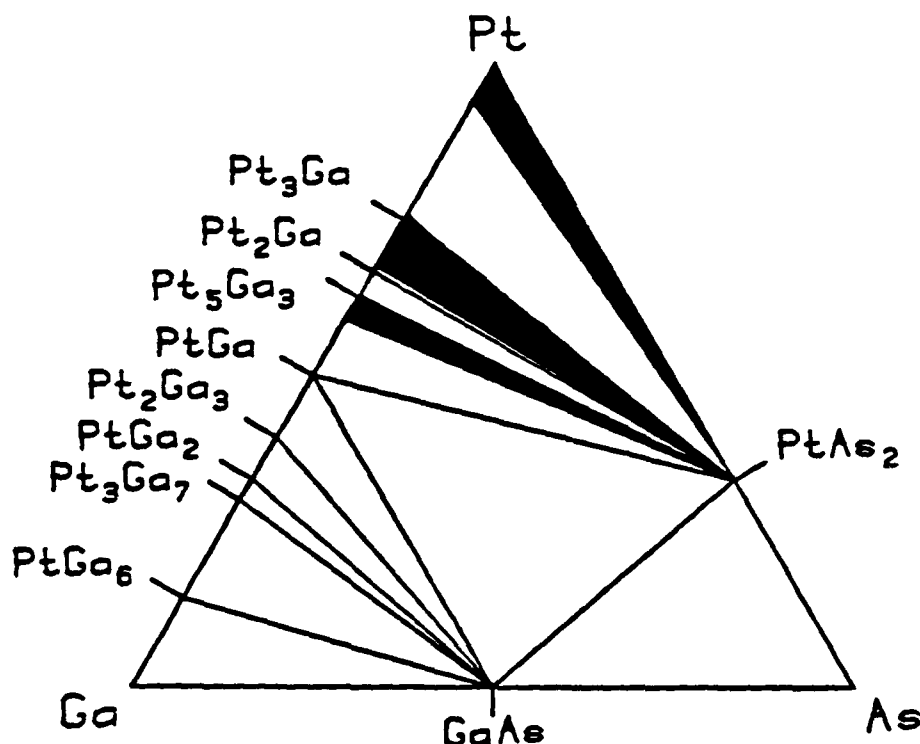


Fig. 1. Solidus portion of the Pt-Ga-As ternary phase diagram at 25°C.

### Results and discussion

The grown films were specular, both to the eye and by optical microscopy. Fig. 2 shows typical powder XRD patterns of the three types of intermetallic single phase Pt-Ga thin films grown on GaAs (001) in the as-deposited state. The PtGa and Pt<sub>2</sub>Ga thin films have a dominant (210) and (112) reflection, respectively. The PtGa<sub>2</sub> thin films displayed roughly equal intensity (111), (220) and (311) reflections. This would seem to imply that the crystal quality of PtGa and Pt<sub>2</sub>Ga thin films is better than that of PtGa<sub>2</sub> films in spite of larger lattice mismatches. XRD patterns of a sample of Pt<sub>2</sub>Ga on GaAs annealed to 500°C are shown in Fig. 3. Even at 200°C, a new peak corresponding to the PtGa (210) reflection begins to appear at  $2\theta = 41.4^\circ$ . In the diffraction patterns of the film heated to high temperatures, new phases, such as PtGa<sub>2</sub> and PtAs<sub>2</sub>, begin to form at 300°C and all peaks corresponding to the Pt<sub>2</sub>Ga phase eventually disappeared at 500°C. According to the Pt-Ga-As ternary phase diagram, Pt<sub>2</sub>Ga is expected to react with GaAs to produce PtAs<sub>2</sub> and PtGa, because there is no tie-line between Pt<sub>2</sub>Ga and GaAs. However, annealing in an open system may cause As evaporation resulting from thermal decomposition of PtAs<sub>2</sub> and GaAs. With further loss of As, other Pt-Ga intermetallic compounds, such as PtGa<sub>2</sub> and Pt<sub>3</sub>Ga<sub>7</sub>, may be produced. This prediction agrees very well with the experimental results; all the peaks correspond to PtGa, PtGa<sub>2</sub>, Pt<sub>3</sub>Ga<sub>7</sub> and PtAs<sub>2</sub> in the diffraction pattern of the Pt<sub>2</sub>Ga thin films on GaAs annealed to 500°C.

Fig. 4 shows XRD patterns of PtGa on a GaAs sample in the as-deposited state and after annealing at various temperatures for 20 minutes each. The diffraction pattern of the PtGa film annealed to 200°C shows that a small peak corresponding to Pt<sub>2</sub>Ga (112) beside the PtGa (210) disappeared and the other PtGa peaks became sharper and more intense. This implies that a small amount of unstable Pt<sub>2</sub>Ga phase in the PtGa thin film reacted with extra Ga in the film or with the substrate. Annealing improves the crystallinity of the PtGa

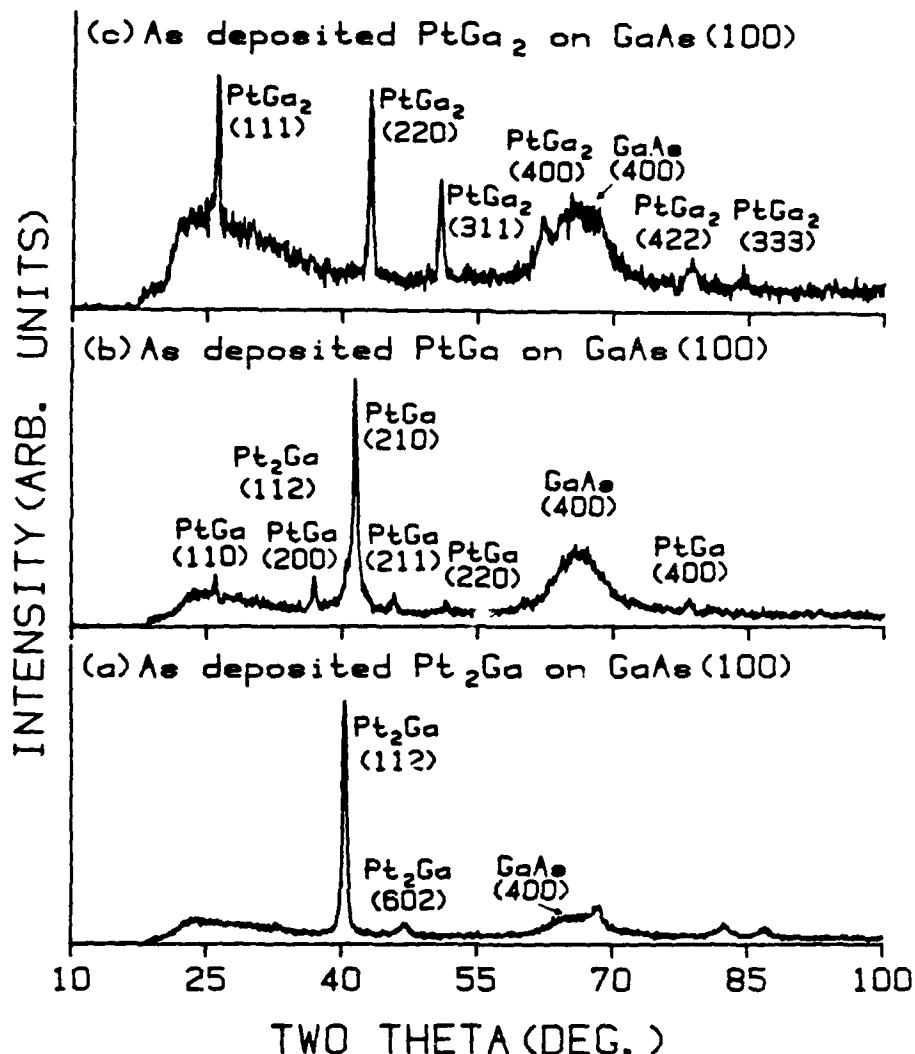


Fig. 2. XRD patterns of the three types of intermetallic single phase Pt-Ga thin films grown on GaAs (100) in the as-deposited state: (a) as-deposited  $\text{Pt}_2\text{Ga}$  on GaAs; (b) as-deposited PtGa on GaAs; (c) as-deposited  $\text{PtGa}_2$  on GaAs.

film, since the signal-to-background ratio in the XRD patterns begins to increase as annealing temperature goes up. The diffraction patterns for the PtGa film annealed from 300°C to 600°C were essentially identical, but the signal-to-background ratio began to decrease. Annealing in an open system, such as in vacuum or under inert gas, may cause both  $\text{PtAs}_2$  and GaAs to decompose thermally to produce gas phase As species. Therefore, in this case, the PtGa thin film starts to become Ga rich and  $\text{PtGa}_2$  and  $\text{Pt}_3\text{Ga}_7$  are produced, which coexist with PtGa and GaAs. Fig. 5 shows XRD patterns of a sample of  $\text{PtGa}_2$  on GaAs heated to 100°C, 300°C, 450°C and 500°C, respectively, along with the pattern of an as-deposited film. The diffraction patterns for the sample were essentially identical up to 400°C. A new peak corresponding to the  $\text{Pt}_3\text{Ga}_7$  (322) reflection begins to appear in XRD patterns of the sample annealed in the range of 450°C to 500°C. It is possible the  $\text{PtGa}_2$  phase begins to react with extra Ga due to As evaporation from GaAs upon annealing.

### Conclusions

Single phase thin films of  $\text{Pt}_2\text{Ga}$ , PtGa, and  $\text{PtGa}_2$  have been successfully grown on GaAs by MBE. The results of annealing studies are in good agreement with the Pt-Ga-As ternary phase diagram.  $\text{PtGa}_2$  and PtGa films are chemically stable on GaAs up to 450°C and 600°C, respectively. However, the  $\text{Pt}_2\text{Ga}$  films start

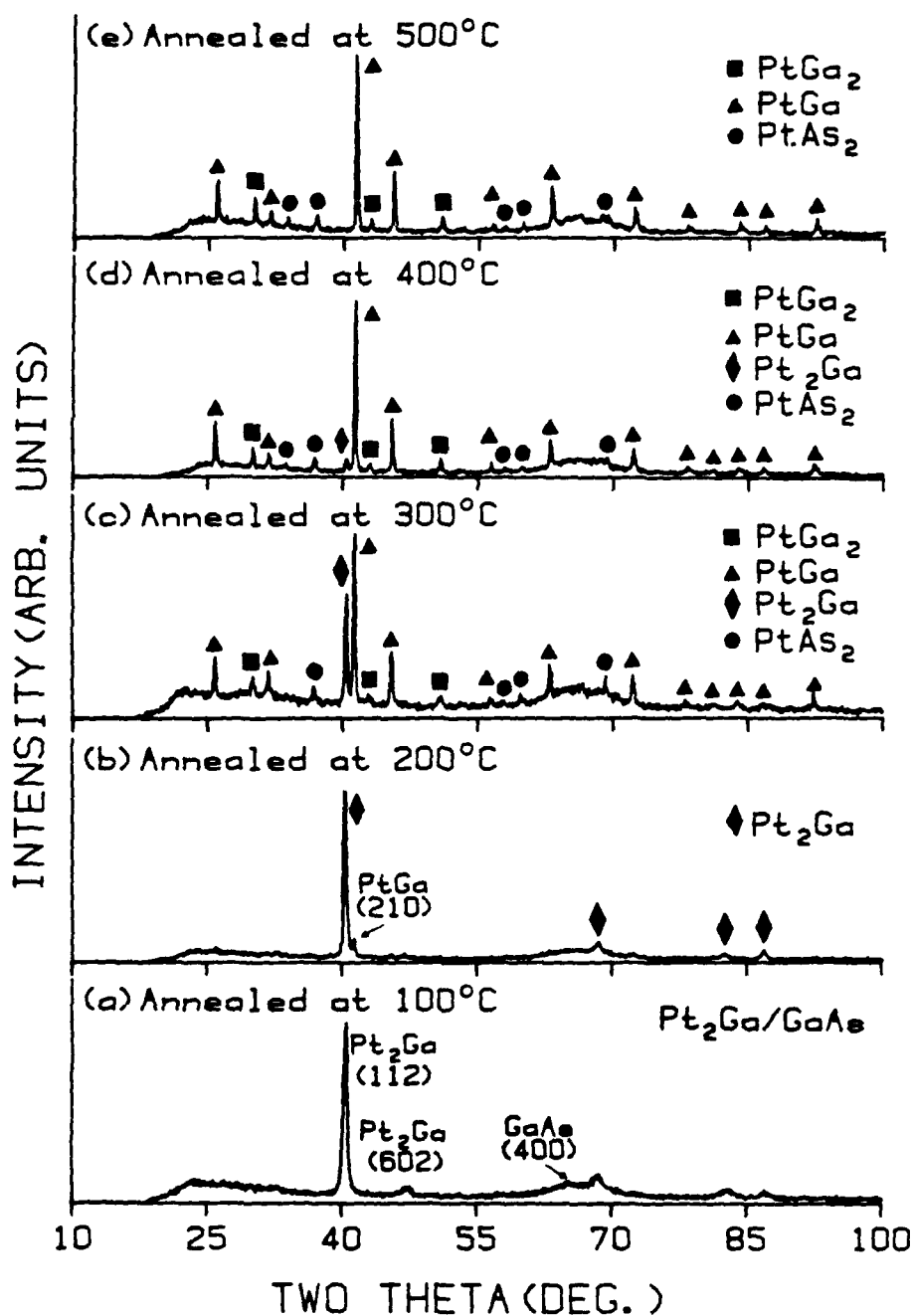


Fig. 3. XRD patterns of Pt<sub>2</sub>Ga thin films on GaAs(100) for sample annealed to (a) 100°C, (b) 200°C, (c) 300°C, (d) 400°C and (e) 500°C.

to react with GaAs even at temperatures of 200°C to produce PtGa, PtGa<sub>2</sub>, Pt<sub>3</sub>Ga<sub>7</sub> and PtAs<sub>2</sub> at temperatures of 500°C. It has been shown here that the thermodynamics of bulk materials can be used to control the chemistry at the metal/semiconductor interface. In order to understand the Pt-Ga intermetallic system further, several additional studies including annealing studies under As ambient, temperature dependent TEM and transport measurements of various intermetallic Pt-Ga phases grown by MBE still need to be carried out.

#### Acknowledgements

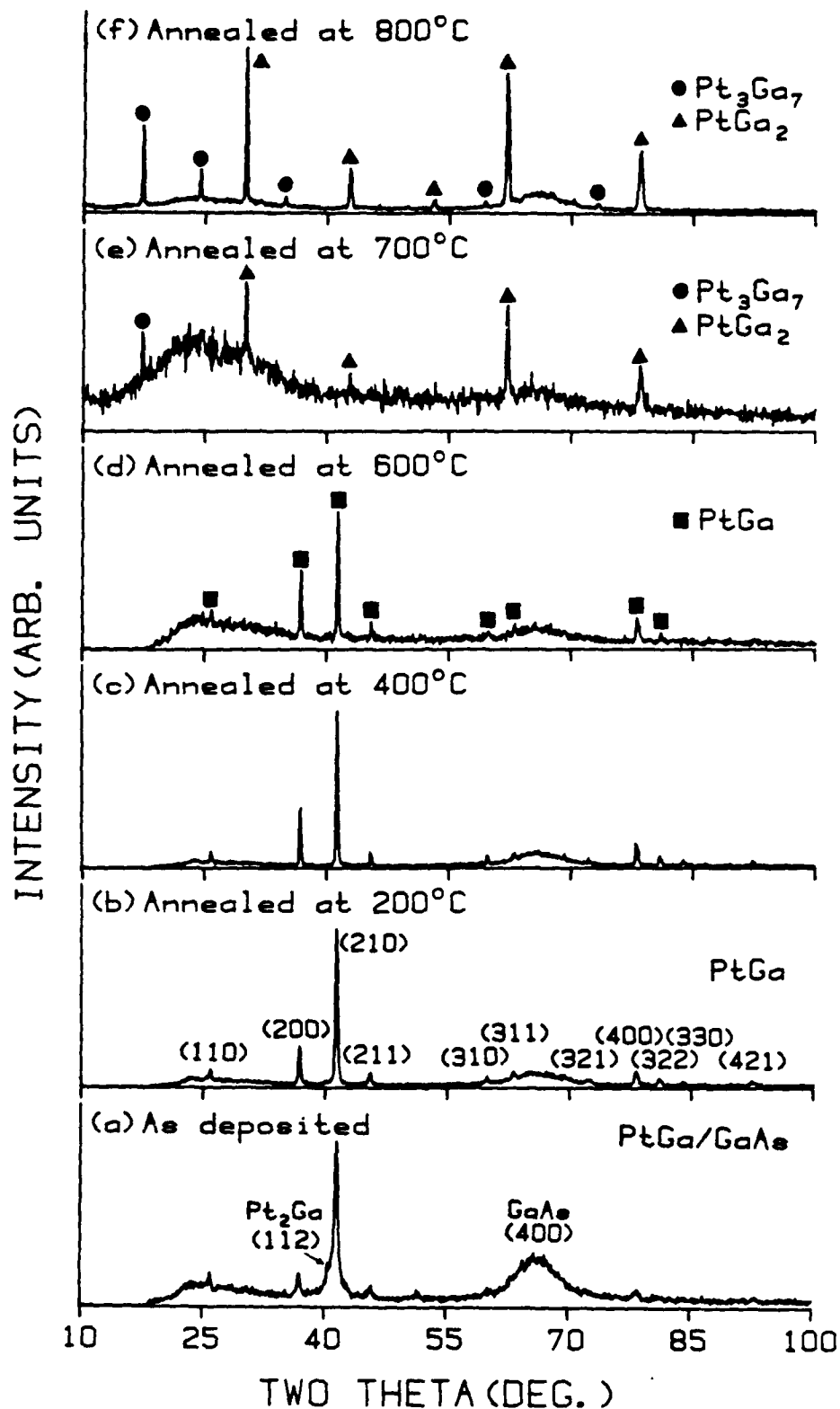


Fig. 4. XRD patterns of PtGa thin films on GaAs (100) for (a) the as-deposited film and after the sample was annealed to (b) 200°C, (c) 400°C, (d) 600°C, (e) 700°C and (f) 800°C.

This research was supported in part by the Office of Naval Research, the University of California MICRO program, and Hughes Air Craft Company. RSW would also like to thank the Henry and Camille Dreyfus Foundation for partial support.

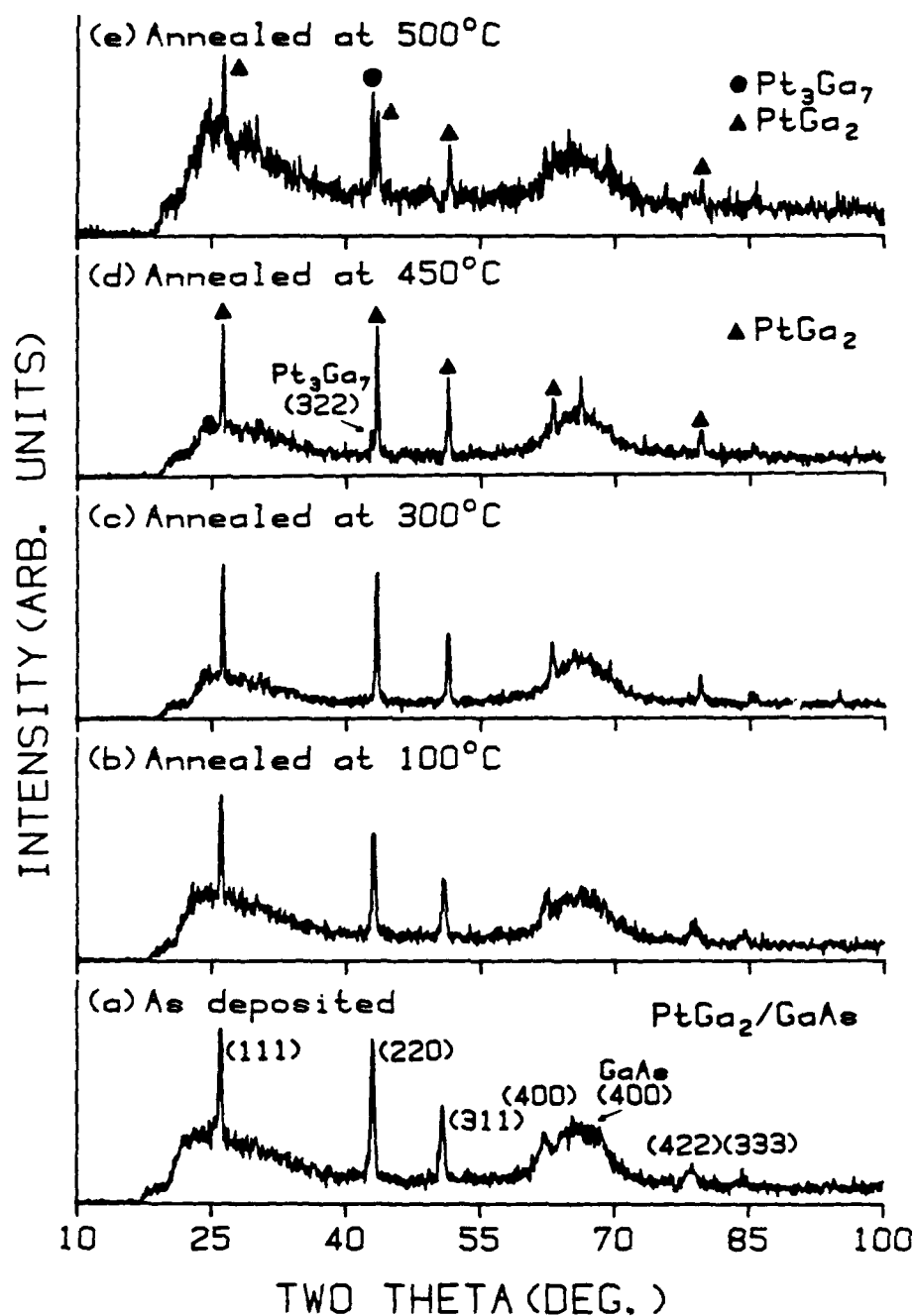


Fig. 5. XRD patterns of  $\text{PtGa}_2$  thin films on GaAs (100) for (a) the as-deposited film and after the sample was annealed to (b) 100°C, (c) 300°C, (d) 450°C and (e) 500°C.

#### References

1. L. J. Brillson, *J. Phys. Chem. Solids* **44**, 703 (1983).
2. A. K. Sinha and J. M. Poate, in *Thin Films-Interdiffusion and Reactions*, edited by J. M. Poate, K. N. Tu and J. W. Mayor (Interscience, New York, 1978), chap. 11.
3. L. J. Brillson, *Surf. Sci. Rep.* **2**, 123 (1982).
4. C. T. Tsai and R. S. Williams, unpublished.
5. S. Kim, L. Hsu and R. S. Williams, *Phys. Rev. B* **36**, 3099, (1987).
6. JCPDS, Powder Diffraction File: Inorganic Phases (1987). International Center for Diffraction Data.
7. E. Hellner and F. Laves, *Z. Naturforsch.*, **2a**, 1947, 177-183.
8. Young K. Kim, David K. Shuh, R. S. Williams, Larry P. Sadwick and Kang L. Wang, unpublished.



# ABSTRACTS DISTRIBUTION LIST, SOLID STATE & SURFACE CHEMISTRY

DL/1113/89/1

## TECHNICAL REPORT DISTRIBUTION LIST, GENERAL

Dr. J. Baldeschwieler Chemistry & Chem Engrg. Calif Inst of Technology Pasadena, CA 91125	Dr. John Eyller Department of Chemistry University of Florida Gainesville, FL 32611	Dr. Mark Johnson Department of Chemistry Yale University New Haven, CT 06511	Dr. R. E. Smalley Department of Chemistry Rice University, Box 1892 Houston, TX 77251	Dr. N. Winograd Chemistry Dept. Case Western Res. Univ. University Park, PA 16802	Office of Naval Research Chemistry Div., Code 1113 800 N. Quincy Avenue Arlington, VA 22217-5000	Chief of Naval Research Spec. Assistant, Marine Corps Code 00MC 800 N. Quincy Street Arlington, VA 22217-5000
Dr. Paul G. Barbara Department of Chemistry University of Minnesota Minneapolis, MN 55455-0431	Dr. James F. Garvey Department of Chemistry State University of New York Buffalo, NY 14214	Dr. Sylvia M. Johnson SRI International 333 Ravenswood Avenue Menlo Park, CA 94025	Dr. G. A. Somorjai Chemistry Dept. University of California Berkeley, CA 94720	Dr. A. Wold Chemistry Dept. Brown University Providence, RI 02912	Commanding Officer Naval Weapons Support Center Crane, IN 47522 5050	
Dr. Duncan W. Brown Adv. Technology Malls, Inc. 520 B Danbury Road New Milford, CT 06776	Dr. T. F. George Chemistry/Physics Dept. State University of New York Buffalo, NY 14260	Dr. Z. H. Kafafi Optical Sci. Div. Code 6551 Naval Research Laboratory Washington, DC 20375-5000	Dr. G. B. Stringfellow Mats. Science & Engineering University of Utah Salt Lake City, UT 84112	Dr. John T. Yates Chemistry Dept. University of Pittsburgh Pittsburgh, PA 15260	Dr. Richard W. Drisko Naval Civil Engineering Lab Code 1-52 Port Hueneme, CA 93043	
Dr. S. Bruckenstein Department of Chemistry State University of NY Buffalo, NY 14214	Dr. Arold Green Quantum Surface Dynamics Br. Naval Weapons Ctr.-Code 3817 China Lake, CA 93555	Dr. George H. Morrison Chemistry Dept. Cornell University Ithaca, NY 14853	Dr. Galen D. Stucky Chemistry Dept. University of California Santa Barbara, CA 93106	Dr. E. Yeager Chemistry Dept. Case Western Reserve Univ. Cleveland, OH 44106	Defense Tech. Information Ctr. Building 5 Cameron Station Alexandria, VA 22314	
Dr. J. Butler Naval Research Laboratory Code 6115 Washington, DC 20375 5000	Dr. R. Hamers IBM Watson Research Center PO Box 218 Yorktown Heights, NY 10598	Dr. Daniel M. Neumark Chemistry Department University of California Berkeley, CA 94720	Dr. H. Tachikawa Chemistry Dept. Jackson State University Jackson, MI 39217	David Taylor Research Center Attn: Dr. Eugene C. Fischer Applied Chemistry Division Annapolis, MD 21402-5067		
Dr. R. P. H. Chang Mats. Science & Engineering Northwestern University Evanston, IL 60208	Dr. Paul K. Hansma Department of Physics University of California Santa Barbara, CA 93106	Dr. D. Ramaker Chemistry Dept. George Washington Univ. Washington, DC 20052	Dr. W. Unertl Surface Science & Technol. Lab University of Maine Orono, ME 04469	Dr. James S. Munday Chemistry Div., Code 6100 Naval Research Laboratory Washington, DC 20375-5000		
Dr. Paul A. Christian Adv. Chem. Technol., Fed. Systems Eastman Kodak Company Rochester, NY 14650-2156	Dr. C. B. Harris Chemistry Dept. University of California Berkeley, CA 94720	Dr. R. Reeves Chemistry Dept. Rensselaer Polytech Inst. Troy, NY 12181	Dr. R. P. Van Duynne Chemistry Dept. Northwestern University Evanston, IL 60201	Dr. David Nelson Office of Naval Res. Code 413 800 N. Quincy Street Arlington, VA 22217-5000		
Dr. Richard Colton Code 6170 Naval Research Laboratory Washington, DC 20375-5000	Dr. J. C. Hemminger Chemistry Dept. University of California Irvine, CA 92717	Dr. A. Reisman Microelectronics Center Research Triangle Park No. Carolina, 27709	Dr. David M. Walba Chemistry Department University of Colorado Boulder, CO 80309-0215	Dr. Ronald L. Atkins Chemistry Div., Code 385 Naval Weapons Center China Lake, CA 91555-6001		
Dr. J. E. Demuth IBM Watson Research Center PO Box 218 Yorktown Heights, NY 10598	Dr. Roald Hoffmann Chemistry Dept. Cornell University Ithaca, NY 14853	Dr. G. Ruboff IBM Watson Research Ctr. PO Box 218 Yorktown Hgts, NY 10598	Dr. J. H. Weaver Chemical Engrg. & Mats. Sci. University of Minnesota Minneapolis, MN 55455	Dr. Bernadette Eichinger Naval Ships Systems Engrg. Station Phila. Naval Base, Code 051 Philadelphia, PA 19112		
Dr. F. J. DiSalvo Department of Chemistry Cornell University Ithaca, NY 14853	Dr. L. Inerente Chemistry Dept. Rensselaer Polytech. Inst. Troy, NY 12181	Dr. Richard J. Saykally Chemistry Department University of California Berkeley, CA 94720	Dr. B. R. Weiner Department of Chemistry University of Puerto Rico Rio Piedras, PR 00931	David Taylor Research Station Attn: Dr. H. H. Singerman Code 283 Annapolis, MD 21402 5067		
Dr. A. B. Ellis Department of Chemistry University of Wisconsin Madison, WI 53706	Dr. E. A. Irene Chemistry Dept. Univ. of North Carolina Chapel Hill, NC 27514	Dr. Robert W. Shaw US Army Research Office Res. Triangle Park, NC 27709	Dr. Robert L. Whetten Chemistry Department University of California Los Angeles, CA 90024	Dr. Sachio Yamamoto Naval Ocean Systems Center Code 52 San Diego, CA 91212		
Dr. M. A. El-Sayed Chemistry Department University of Waterloo Los Angeles, 90024 1569	Dr. D. E. Irish Department of Chemistry University of Waterloo ONT N2L 3G1, Canada	Dr. S. Sibener James Franck Institute University of Chicago Chicago, IL 60637	Dr. R. Stanley Williams Dept. of Chemistry University of California Los Angeles, CA 90024	Carlota Leufroy Office of Naval Research 1040 E. Green Street Pasadena, CA 91106		